



Ohm Stock Broker Pvt. Ltd.

CIN : U51900MH1999PTC117927, SEBI : INZ000246138, CDSL DP ID : 12089800
Member : BSE - Clg. No. 458, NSE – Clg. No. 10573, MSEI – Clg. No. 56440, AMFI ARN : 164099

COMPLAINTS DATA

❖ PREFACE:

- ❖ SEBI vide their letter no. SEBI/HO/MIRSD/DOP/OW/P/2021/37347/1 dated December 15, 2021, has advised the Depository and Depository Participants (DPs) to bring the Investor Charter [refer **Annexure A**] and its linked contents [refer **Annexure B**], to the notice of their clients (existing as well as new clients) through disclosing the Investor Charter on their respective websites. Also, to make the investor charter available at prominent places in their office, provide a copy of Investor Charter as a part of account opening kit to the clients, through-mails/letters etc.
- ❖ CDSL, as a Depository has made the Investor Charter available on URL:
<https://www.cdslindia.com/Investors/InvestorCharter.html>.

Accordingly, as per the Annexure C of the said circular, the details are as below.

Data for the month ending – Oct' 2023

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
01.	Directly from Investors	0	0	0	0	0	0
02.	SEBI (SCORES)	0	0	0	0	0	0
03.	Depositories	0	0	0	0	0	0
04.	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.



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Trend of monthly disposal of complaint

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
01.	Nov -2022	0	0	0	0
02.	Dec -2022	0	0	0	0
03.	Jan -2023	0	0	0	0
04.	Feb -2023	0	0	0	0
05.	Mar -2023	0	0	0	0
06.	Apr -2023	0	0	0	0
07.	May -2023	0	0	0	0
08.	Jun -2023	0	0	0	0
09.	Jul -2023	0	0	0	0
10.	Aug - 2023	0	0	0	0
11.	Sep - 2023	0	0	0	0
12.	Oct - 2023	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
01.	2018-19	0	0	0	0
02.	2019-20	0	0	0	0
03.	2020-21	0	0	0	0
04.	2021-22	0	0	0	0
05.	2022-23	0	0	0	0
	Grand Total	0	0	0	0

** Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.