

Ohm Stock Broker Pvt. Ltd.

CIN: U51900MH1999PTC117927, SEBI: INZ000246138, CDSL DP ID: 12089800 Member: BSE - Clg. No. 458, NSE - Clg. No. 10573, MSEI - Clg. No. 56440, AMFI ARN: 164099

COMPLAINTS DATA

❖ PREFACE:

SEBI has issued Circular No. **SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2025/22** dated **February 21, 2025**, regarding publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites.

Accordingly, as per the Annexure B of the said circular, the details are as below.

Data for the month ending - Mar' 2025

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending		Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
01.	Directly from Investors	0	0	0	0		0	0
02.	SEBI (SCORES 2.0)	0	0	0	0		0	0
03.	Stock Exchanges	0	0	0	0		0	0
04.	Other Sources (if any)	0	0	0	0		0	0
	Grand Total	0	0	0	0		0	0



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Trend of monthly disposal of complaint

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
01.	Apr -2024	0	0	0	0
02.	May -2024	0	0	0	0
03.	Jun -2024	0	0	0	0
04.	Jul -2024	0	0	0	0
05.	Aug - 2024	0	0	0	0
06.	Sept-2024	0	0	0	0
07.	Oct-2024	0	0	0	0
08.	Nov-2024	0	0	0	0
09.	Dec-2024	0	0	0	0
10.	Jan-2025	0	0	0	0
11.	Feb-2025	0	0	0	0
12.	Mar-2025	0	0	0	0
	Grand Total	0	0	0	0

^{*}Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if

Trend of annual disposal of complaints

Sr. No.	Year	from	Received during the year	Received during the year	Pending at the end of the year
04	2010 10	previous year	0		0
01.	2018-19	U	0	U	U
02.	2019-20	0	0	0	0
03.	2020-21	0	0	0	0
04.	2021-22	0	0	0	0
05.	2022-23	0	0	0	0
06.	2023-24	0	0	0	0
	Grand Total	0	0	0	0

any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.